1	The Framework and Implementation of Using Large Language Models to
2	Answer Questions About Building Codes and Standards
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# 15 ABSTRACT

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Civil and structural engineering design projects are subject to strict regulations of relevant 16 codes and standards to guarantee that certain standards of safety, reliability, and efficiency are met. 17 However, ensuring that all engineering designs comply with the precise provisions of pertinent civil 18 and structural engineering codes and standards is a complex and time-consuming task currently 19 completed by professional engineers. Recent advancements in artificial intelligence have enabled 20 large language models (LLMs) to complete abstract and complex tasks, such as answering questions 21 based on provided context and summarizing text passages, with high accuracy. This work presents 22 a novel framework to build an open-source and scalable LLM-based application allowing engineers 23

to quickly receive accurate answers to their codes-and-standards-related questions alongside corresponding citations simply by interacting in natural language with a ChatGPT-style chatbot. This
work also presents a preliminary implementation of this framework using the National Building
Code of Canada 2020. The system implemented achieves promising results, indicating that the
proposed framework may be a useful tool to assist design engineers in efficiently and effectively
completing their work and that this approach holds promise for applications to other domains.

#### 30 PRACTICAL APPLICATIONS

New and powerful large language models (LLMs), such as ChatGPT, are rapidly changing the 31 way in which professional work is done. However, these state-of-the-art LLMs alone lack the 32 accuracy needed for truthfully answering detailed technical questions, limiting their utility in engi-33 neering contexts. Civil engineers often have to manually check designs for compliance with codes 34 and standards, but this task can be difficult and time-consuming, especially for junior engineers. 35 This paper investigates the use of modern LLMs alongside information retrieval techniques to en-36 able engineers to receive accurate answers to their plain language questions about a particular code 37 or standard, as well as a reference to the specific sections in the code or standard that support the 38 generated responses. To achieve this, a general framework and an example system are introduced 39 to produce a question-answering chatbot for these questions. Experiments on example questions 40 show promising results, indicating that this technology has the potential to provide an efficient way 41 for engineers to interact with codes and standards. 42

## 43 INTRODUCTION

# 44 **Problem Background**

Civil and structural engineering projects of all scales and scopes are strictly regulated to guarantee adherence to minimum standards of safety, reliability, and efficiency. These regulations take the form of building codes and standards. Building codes are typically extensive documents housing an abundance of precise provisions that outline the minimum requirements to be satisfied by all associated projects, while standards contain specific technical information describing the process

of fulfilling the requirements laid out by relevant codes. Application of these documents usually 50 involves a professional engineer meticulously poring over large volumes of text to find minute 51 but critical details affecting the acceptability of the design. Often, the interdisciplinary nature 52 of engineering projects necessitates the coordinated application of several different codes and 53 standards with unrelated scopes simultaneously (Ching and Winkel 2021). Despite the paramount 54 importance of regulatory compliance, the aforementioned factors make this a slow and tedious 55 process that consumes limited and expensive engineering hours, diverting resources that may be 56 more judiciously allocated to other more complex and involved tasks. 57

# 58 Related Work

The predominantly textual nature of codes and standards has inspired previous research into the 59 application of classical natural language processing (NLP) technologies to solving these problems 60 (Fuchs 2021). Zhang and El-Gohary (2016) developed an NLP-based approach to automatically 61 extract regulatory requirements from building codes. Their method used semantic role labeling 62 and syntactic parsing to identify subject-predicate-object triples representing requirements. The 63 extracted rules were represented in a logic-based format. While effective, the approach was limited 64 to processing simple sentence structures. Beach et al. (2015) proposed a semi-automated method 65 combining NLP and manual review to extract regulatory information from building codes. They 66 used part-of-speech tagging and dependency parsing to identify key phrases, which were then 67 manually reviewed and formalized. This hybrid approach improved accuracy but still required 68 significant manual effort. Jiang et al. (2019) proposed an ontology-based framework for extracting 69 regulatory requirements from building codes. They used NLP to identify key terms and relations, 70 which were then mapped to concepts in a domain ontology. This enabled semantic querying of 71 requirements, but the ontology development was time-consuming. Xu et al. (2019) developed a 72 method to automatically construct a regulatory knowledge graph from building codes using NLP 73 and machine learning. Their approach extracted entities and relations to populate the graph. While 74 promising for knowledge representation, the method had limitations in capturing complex regulatory 75 logic. Nawari (2019) developed an NLP-based system for automated code compliance checking. 76

The system used NLP to extract rules from building codes and translate them into a computer-77 processable format. While promising, it was limited to specific types of requirements and required 78 manual review. Zhong et al. (2020) proposed a transformer-based model for retrieving relevant 79 clauses from building codes given a query. Their approach outperformed traditional information 80 retrieval methods but was limited to retrieval without interpretation of regulatory content. In 81 general, in spite of the great progress, such classical computational NLP-based solutions have been 82 limited by their accuracy, scalability, and level of abstraction. Furthermore, these works have did 83 not produce an accessible user interface optimized for real-world use. 84

Recent advancements in artificial intelligence (AI) technologies have enabled computers to 85 complete complex and abstract NLP tasks via large language models (LLMs) with ever-increasing 86 proficiency (Zhao et al. 2023; Shanahan 2023). LLMs are modern systems that utilize deep 87 learning, a technology based on the artificial neural network dating back to the 1950s (Wason 88 2018), alongside contemporary techniques, including the use of enormous datasets and immensely 89 powerful computing hardware. The most important breakthrough in this field was the advent of 90 the self-attention-mechanism-based Transformer architecture (Vaswani et al. 2017). This provided 91 the foundation for the development of powerful LLMs capable of modeling human language at 92 unprecedented levels (Islam et al. 2023). Subsequent works expanded upon this architecture, 93 introducing the technique of unsupervised pre-training to facilitate the development of versatile 94 foundational models such as BERT (Devlin et al. 2019) and GPT (Radford et al. 2018a). The rapid 95 proliferation of such technologies has led to a relentless onslaught of new LLMs at a remarkable 96 pace, with the amount of, the average size of, and both the academic and public interest in LLMs 97 continually increasing at an exponential rate (Zhao et al. 2023; Naveed et al. 2023). Early landmark 98 models such as GPT-2 (Radford et al. 2018b) and GPT-3 (Brown et al. 2020) furthered the state-99 of-the-art by exhibiting strong performance on a multitude of abstract tasks and producing text 100 nearly indistinguishable from human composition, respectively. Chinchilla (Hoffmann et al. 2022) 101 highlighted the importance of corpora size, not just LLM size, in optimizing LLM performance 102 while balancing the consumption of computing resources. Other improvements have led to the 103

development of current state-of-the-art models, such as Google's LaMDA (Thoppilan et al. 2022),
PaLM (Chowdhery et al. 2022), and PaLM-2 (Anil et al. 2023); Meta's LLaMA (Touvron et al.
2023b) and LLaMA-2 (Touvron et al. 2023a), and, perhaps most famously, OpenAI's GPT-4
(OpenAI 2023). The exceptional performance of these LLMs, which vastly outperform traditional
methods (Min et al. 2023), has thoroughly captured the public's attention and permeated many
aspects of daily life, sparking interest in their potential applications to industry-based, real-world
problems in advanced fields like civil and structural engineering (Bommasani et al. 2022).

While recent models have exhibited remarkable performance on a variety of general-purpose 111 language understanding and generation tasks, the vast pre-training corpora utilized and the inherent 112 probabilistic nature of such LLMs has prevented them from being naturally adept at accurately 113 answering questions, especially domain-specific questions with precise answers (Yang et al. 2023a; 114 Krishna et al. 2021). Often, even state-of-the-art LLMs are poorly calibrated for question-answering 115 (Jiang et al. 2021), meaning that the model's confidence in its response has little correlation with 116 that response's correctness. This is a general limitation of LLMs (McKenna et al. 2023) related to 117 the hallucination phenomenon, where LLMs confabulate factually incorrect responses that often 118 seem plausible to unknowing users. This behavior poses a significant challenge to LLM use in 119 civil and structural engineering, where the truthfulness of generated text holds critical significance 120 for high-stakes, real-world construction projects. Furthermore, while existing LLMs have demon-121 strated some domain-specific knowledge, their generalized nature sacrifices specific knowledge in 122 specialized fields, rendering them unable to accurately answer in-depth queries. Despite these 123 challenges, the swift pace of improvement in modern NLP technologies indicates a strong potential 124 for powerful applications across diverse fields (Bommasani et al. 2022), including civil and struc-125 tural engineering. For example, recent enhancements to question-answering systems combining an 126 information retrieval module with an LLM for context-aware text generation (Chen et al. 2017; Lee 127 et al. 2019; Karpukhin et al. 2020; Chen et al. 2023b) have mitigated the hallucination problem; 128 incorporating context into prompts reduces hallucination by as much as 50 times (Feldman et al. 129 2023). Datasets have also emerged to evaluate such systems (Zhuang et al. 2023). 130

Little exploration into the applications of modern NLP technologies to the realm of civil and 131 structural engineering has occurred. Preliminary investigations, marred by the general limitations 132 of language modeling including hallucination and bias (Head et al. 2023), have generally focused 133 on the use of off-the-shelf LLMs as-is (Aluga 2023). Recent works have begun to use LLMs to 134 interact with building codes and standards, but not in a conversational manner (Zheng et al. 2023). 135 However, the rapid proliferation of powerful LLMs and their seemingly endless possibilities has 136 brought about applications of these technologies to countless other disciplines (Bommasani et al. 137 2022); for example, recent works have explored applying LLMs to finance (Yang et al. 2023b), 138 law (Douka et al. 2022), and medicine (Wu et al. 2023; Singhal et al. 2023; Guo et al. 2022). 139 These systems almost universally apply fine-tuning techniques with vast domain-specific corpora 140 alongside prompt engineering strategies to contemporary foundational LLMs, achieving promising 141 results even beginning to rival human performance in medicine (Singhal et al. 2023). Other works 142 have explored the related issue of using LLMs to understand and reason over highly structured 143 datasets (Jiang et al. 2023). In the future, similar methods may be utilized here to understand 144 the large tables and databases included in many engineering codes and standards, but textual data 145 remains the scope of the present work. Numerous studies have considered the hallucination problem 146 plaguing LLMs by building systems to better incorporate information sources into model responses. 147 Some approaches employ retrieval-augmented generation to naturally attribute sources (Guu et al. 148 2020; Ram et al. 2023), like in the present work, while others embed references seamlessly in 149 generated text (Menick et al. 2022). 150

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# **Research Objectives and Contributions**

The objective of this work is to design an automated framework for the construction of a custom LLM-based system enabling civil and structural engineers to quickly receive accurate answers to their questions concerning a particular engineering code or standard simply by interacting in natural language with a conversational chatbot. To ensure the tool is trustworthy enough for use in industrial settings with real-world repercussions, correct citations of the information sources used must be provided alongside all responses generated by the system. This feature will allow engineers to determine and further investigate the source of any information used to generate a given response.
 This system must encapsulate a complete framework allowing any code or standard supplied to be
 converted into an interactive chatbot. Utilizing LLMs to interact with codes and standards in this
 innovative fashion will expedite this portion of the design process, ultimately improving overall
 workflow efficiency and enhancing engineering productivity.

Success of the system depends not only on the accuracy and speed of response generation, but 163 also on the scalability, democratization, portability, and robustness of the system. Specifically, to 164 ensure the system is scalable – meaning that it can be applied to many codes and standards, that it 165 can be applied to very large codes and standards, that it can be used by many people concurrently, 166 etc. - the entire pipeline must be entirely open-source. All LLMs and other tools utilized must be 167 free, even for commercial use. Similarly, to limit the often prohibitively large amount of computing 168 resources required to effectively run such technologies on consumer hardware, all LLMs employed 169 should be relatively small. These factors will also facilitate democratization of the technology, 170 allowing small and medium-sized enterprises to leverage this tool. Because countless engineering 171 codes and standards, ranging from enormous building codes to small client-created documents, 172 may be employed on a single project, framework portability and robustness are key; the system 173 must be capable of strong performance across vastly different codes and standards. 174

This paper presents the general framework to translate any code or standard into an interactive 175 chatbot system and shares an implementation of such a system based on the National Building 176 Code of Canada 2020 (NBCC) (Canadian Commission on Building and Fire Codes 2022) as a 177 proof-of-concept. The main contribution of this paper is the application and adaptation of modern 178 LLM-based NLP techniques specifically to answering questions about codes and standards. This 179 high-stakes domain presents many important challenges, including a critical need for precision and 180 accuracy and an emphasis on explainability and traceability. Unlike previous works (Zhang and 181 El-Gohary 2016; Beach et al. 2015; Nawari 2019; Jiang et al. 2019; Xu et al. 2019; Zhong et al. 182 2020), our approach does not require any additional manual work from the engineer at runtime. 183 Additionally, the proposed system leverages LLMs to interpret the retrieved information, producing 184

easily-readable answers to user questions that may be expressed freely in natural language. The 185 use of LLMs brings about many advantages over traditional NLP techniques, primarily related to 186 performance (Min et al. 2023). This work combines advanced information retrieval techniques with 187 the recent computing method of LLM-based text generation to create a more complete system to 188 assist engineers in the application of codes and standards acting as a novel ChatGPT-style chatbot. 189 Furthermore, another main contribution of this paper is demonstrating the feasibility of applying 190 LLMs to lengthy documents with technical and professional content, such as engineering codes and 191 standards. Overall, the framework presented in this paper uniquely seeks to create a user-friendly 192 experience, allowing engineers to effortlessly interact with these complex technical documents in 193 plain language. 194

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Subsequent sections of this paper are organized as follows:

• Section 3 outlines the methodology of the general framework designed,

• Section 4 describes the methodology of the specific system implemented,

• Section 5 presents the empirical results of this system with accompanying analysis, and

- Section 6 summarizes the key takeaways of this work and provides direction for future research.
- 201 GENERAL FRAMEWORK
- 202 Overview

The general architecture utilized by the system is a retrieval-augmented generation (RAG) 203 pipeline. In this robust and secure approach, user inputs are used to search a knowledge database 204 encompassing all information present in the code or standard of interest. Relevant context informa-205 tion is retrieved with accompanying references and embedded into the user input prior to generating 206 natural language responses. By informing system answers with the actual source information from 207 the code or standard, accuracy is improved and practical citations are guaranteed. Incorporating 208 search enables accurate answers relaying precise information in a manner that, at present, the use 209 of LLMs alone cannot provide. Because of the capability for generalization of advanced search 210

algorithms and LLMs, this methodology can be applied to any corpora housing technical and pre cise information and, thus, holds promise for diverse scientific domains and industrial applications
 (Gao et al. 2024).

This design requires the development of a framework composed of two distinct parts (Fig. 1). First, the code or standard of interest is parsed and transformed into searchable knowledge databases (the "data engineering pipeline"). Second, these knowledge databases are integrated with an LLM to produce a natural language interface (the "chatbot application"). The former part executes only once per code or standard, but the latter segment executes repeatedly at application runtime as it encompasses the dynamic behavior of the system.

#### **Data Engineering Pipeline**

The first stage in the framework involves converting the code or standard at hand from its original, unprocessed format into a structured, predictable format that may be effectively searched while maintaining data privacy and integrity. A step-by-step data engineering pipeline completes this task (Fig. 2).

First, the relevant code or standard is subject to preliminary preprocessing algorithms and 225 various cleaning procedures producing a uniform and coherent corpus. The details and extent 226 of this task vary widely, depending wholly on the characteristics and quirks of the original data 227 format, including the file format, organizational hierarchy, and more. More structured file formats, 228 such as XML, HTML, or TeX, are best because they naturally organize information and integrate 229 valuable metadata, but codes and standards are typically distributed in visually-based file formats, 230 like PDF or DOCX. These formats introduce an array of data quality issues – like sporadic insertion 231 or deletion of whitespace characters, hidden text, improper line formatting, and improper figure 232 and table formatting - that are largely resolved by custom file-parsing algorithms and regular 233 expressions. More general cleaning procedures, particularly those related to file formatting, may 234 be universally applied to ensure some scalability of this process to a wide array of codes and 235 standards, but certain document-specific corrections for unique issues may still be required. This 236 is especially the case for PDF files; other formats obtained directly from the document publisher, 237

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such as XML or HTML, simplify this preprocessing stage and improve scalability. Additionally, 238 because LLMs exclusively analyze textual data, only raw text is extracted; figures and tables are 239 ignored. These procedures must be carefully designed so that all document content is retained, 240 ensuring the comprehensiveness of the extracted data. This stage produces a cleaned, purely 241 textual file containing all the information present in the code or standard at hand. Given data in this 242 preprocessed format, the framework is fully scalable to any document; the scope of this paper is to 243 prove that constructing a complete pipeline is possible, even though this process may rely on some 244 document-specific preprocessing algorithms. 245

Second, this refined textual data is partitioned into a mutually exclusive but collectively ex-246 haustive set of small "documents," each representing a small fraction of the information present in 247 the code or standard of interest. Each document should thoroughly embody exactly one idea (in 248 this case, a provision). Documents containing an incomplete idea pose problems once retrieved; 249 such a document may not contain all the information needed to comprehensively answer a relevant 250 question when retrieved, and the other documents containing this missing information may contain 251 other, unrelated ideas, preventing them from being retrieved concurrently. However, documents 252 encapsulating multiple ideas are also problematic; unnecessary information will be presented to 253 the LLM, delaying and potentially distracting generated responses, and the additional sentiments 254 present in the document may prevent it from being retrieved at all. Therefore, for best results, the 255 content of the code or standard should be split naturally around the original document structure; 256 that is, on the basis of sections and subsections, clauses and subclauses, paragraphs and sentences, 257 and the like. Structured file formats are advantageous again because they automatically encode 258 this information. Pertinent metadata may be extracted from unstructured formats through the use 259 of regular expressions, and content may be split by a simple word or sentence count rule if such 260 metadata is unavailable. In addition to the content of each document, a citation localizing it is 261 stored for accurate referencing once retrieved. These may be constructed from certain metadata 262 - headers, footers, page numbers, section numbers, etc. - naturally present in structured file for-263 mats or extracted from unstructured file formats. Once utilized, these metadata are removed from 264

the document content as they carry little useful meaning. This stage creates a secure database of compact but information-dense documents, each dedicated to one particular topic, alongside corresponding citations. This text parsing and cleaning task is extremely important to system success.

Third, this preliminary document collection is further preprocessed to enable and optimize 269 the search algorithms employed. Two main classes of search algorithm, lexical and semantic, 270 are utilized. The former is a keyword-based search that returns those documents with the most 271 words in common with some input, while the latter is an embeddings-based search that retrieves 272 those documents with the most similar vectorized mapping to some input. To optimize the lexical 273 search, all raw text across the database is made uniform; this involves decapitalization, the removal 274 of certain punctuation (such as periods, apostrophes, and quotation marks), and the removal of 275 common stopwords carrying little or no actual meaning (such as "the" and "a"). This process 276 uses simple string-parsing algorithms to assemble a set of significant words for each document, 277 facilitating fast and accurate search. To enable semantic search, each document in the database is 278 transformed into a vectorized format capturing its meaning; this involves systematically applying 279 a neural-network-based machine-learned model. The resulting vector for each document may be 280 effortlessly compared to any others by a simple mathematical function. While these processed data 281 formats are utilized by each search algorithm, the original text and citation are still stored alongside 282 these representations for retrieval. This stage produces coherent, searchable databases. 283

# 284 Chatbot Application

Relying on the existence of properly-formatted knowledge databases generated by the data engineering pipeline, the chatbot application implements the main RAG architecture to enable users to interact with the code or standard of interest through an LLM. A step-by-step cycle executes each time the user enters a question (Fig. 3), producing an advanced chatbot user interface.

First, the user input is used to search the knowledge databases embodying the code or standard of interest. Both lexical and semantic search approaches have strengths and weaknesses, but semantically searching theoretically better accounts for the unpredictable and varied nature of

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natural language questions. This is because the exact words supplied do not have to identically 292 match the terms present in the database documents; the overall meaning behind the words present is 293 the basis of the search. For effective searching, this input question is preprocessed in the exact same 294 fashion as the data in the database utilized was. For example, if searching lexically, the question 295 is decapitalized and has its punctuation and stopwords removed, and if searching semantically, the 296 question is converted into a vector of the same cardinality by the same text embeddings model 297 used previously. The search algorithm selected then compares the preprocessed question with each 298 document in the relevant database and ranks them based on their computed similarity scores. A 299 predetermined or automatically-computed optimal quantity of top documents may be designated 300 for retrieval. In the latter strategy, the top similarity scores computed are analyzed in relation to 301 each other. This way, many documents with a similarly high score will all be retrieved concurrently, 302 but one document with a score significantly higher than all others will be retrieved exclusively. 303 These most similar portions of the code or standard to the user input query are assumed to be the 304 portions most likely to hold the answer to the question at hand and, thus, are supplied to the LLM. 305 Thus, the quality of user input affects system accuracy; poorly worded or unintelligible questions 306 may not be answered correctly because the irrelevant context information retrieved by the search 307 algorithm will not give the LLM the facts it needs to correctly answer the question. This limitation 308 is common to other NLP systems (Mishra and Jain 2016). Applying the same preprocessing 309 procedures to the user input as were applied to the database limits this issue, but users should take 310 care to ensure precise language matching the code or standard is used for best results. Robustness 311 to unanswerable questions – beyond the ability to verify the truthfulness of responses by exploring 312 the citation provided – is outside the scope of this work; the user must supply enough information 313 in their input question for the system to behave properly. Because the precision and consistency of 314 user-generated input cannot be controlled, strong performance cannot be guaranteed in all cases. 315 Future work may be undertaken to overcome this limitation. Despite these factors, the system 316 remains user-friendly. The system is intended for use by trained and experienced professional 317 engineers. These users will have prior knowledge about and a basic understanding of the code 318

or standard they are interacting with and, thus, are likely to include the proper industry-standard terminology in their queries. Furthermore, the system is more user-friendly than its contemporary counterparts (Zheng et al. 2023) because it uses an LLM to build an abstract user interface. Since LLMs can understand diverse text, users can express their question freely; this, along with the strength of the search algorithms utilized, allows variations in the structure of and exact language used in the user input to be inconsequential. While the system is quite robust, users are encouraged to apply best practices for prompting LLMs and abide by the following guidelines for best results:

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• State questions briefly and simply.

• Use precise terminology consistent with the code or standard at hand.

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• Adhere to a binary (yes-or-no) or interrogative (who, what, where, when) question structure.

Additionally, the LLM produces an easy-to-understand response that directly answers the question in plain language. The system may be further enhanced in the future through the use of strict question or keyword templates (Sneiders 2002; Fabbri et al. 2020). The reference localizing the relevant snippet within the code or standard is also retrieved, but is not forwarded to the LLM. Errors at this step will propagate and cause the entire system to generate an incorrect answer.

Second, a log of all previous user inputs and system responses in the conversation thus far is retrieved. Incorporating this text into future prompts is crucial in producing chatbot-esque system behavior. This feature allows users to reference prior interactions, enabling the system to better respond to rephrased or related follow-up questions. This also grants the system the ability to handle complex multi-step reasoning problems by generating responses incrementally (Wei et al. 2023).

Third, all required input information, including the system directive, conversation history, relevant context, and the actual question, is amalgamated through prompt engineering techniques to produce a cohesive and coherent but minimal prompt. This is critical to the quality of generated responses, since the LLM must consider all factors accordingly, and the rate at which they are generated. The prompt is the combination of several distinct components: (a) A system directive is provided, giving basic context about the role and demeanor of the
 system.

- (b) Conversation history is listed in a consistent convention where all previous user inputs and
   system outputs are prefixed by a distinct identifier. To prevent the LLM from confabulating
   both sides of the conversation, these identifiers are designated as special stopping tokens.
- (c) The current user prompt prefixed accordingly is supplied. The most relevant documents
   previously identified in the searching stage are integrated with the current user input to form
   a clear, consistent, and coherent in-context prompt that is easily understood by the LLM.
- (d) The system response identifier is appended, signaling to the LLM that it should generate a
   response from the proper perspective.

Because important steps, such as defining the system's role and the task at hand, are already 355 completed by the carefully-designed system prompt, the system prompt itself acts as a general 356 template that can be filled in with any question. This enables the user to interact with the system 357 relatively freely. More complex prompt engineering techniques (Chen et al. 2023a; Sahoo et al. 358 2024) may be applied to improve system performance in the future, but such experimentation is 359 outside the scope of this paper. Testing the system's conversation chaining capability is particularly 360 difficult because of the lack of such a dataset for meaningful analysis. Therefore, the simple and 361 standard prompt structure is applied herein. In-context learning enables sufficiently powerful off-362 the-shelf LLMs to quickly grasp and adapt to this format. Performance may be improved in the 363 future by fine-tuning the LLMs used – adjusting their model weights based on exposure to new, 364 domain-specific training data – on data following this format. However, fine-tuning is outside the 365 scope of this paper and such techniques are not utilized herein. 366

Fourth, the system generates and returns a response to the user. Modern LLMs are extensively pre-trained on expansive public text-based datasets to acquire a strong general understanding of language. This enables them to answer questions based on context with reasonable accuracy. Again, the application of fine-tuning techniques may improve performance on this task, but this is outside the scope of this paper. The LLM response generated, which should contain the answer to the user's question, is stored to inform future responses in the conversation and automatically combined with the citations returned by the searching stage. This attribution of source information used substantiates all responses generated and establishes search algorithm transparency, allowing users to fact-check all answers obtained.

The proposed framework is designed such that any LLM may be used as the basis of the chatbot 376 application, enhancing system extensibility and robustness. This design protects the architecture 377 from the blazingly fast progress in this field – what were state-of-the-art LLMs a few years ago are 378 now obsolete, far outpaced by brand-new LLMs – because new models may be easily incorporated. 379 This versatile architecture also permits the use of locally- or remotely-run LLMs, increasing system 380 portability and scalability. Models may even be used via API access, enabling system integration 381 with powerful commercial LLMs, like OpenAI's most recent GPT models, and existing open-source 382 language modeling frameworks, such as HuggingFace (Wolf et al. 2020). These frameworks prove 383 valuable for the application of custom and readily available open-source LLMs and for enabling 384 the integration of diverse NLP techniques. 385

# 386 SYSTEM IMPLEMENTATION: A CASE STUDY

#### **387** Data Engineering Pipeline

The NBCC (Canadian Commission on Building and Fire Codes 2022), which presents detailed 388 and comprehensive requirements for the design, construction, alteration, and demolition of all 389 buildings in Canada, is accessible as a PDF, requiring extensive cleaning procedures. The un-390 processed text present in the file is extracted and separated by page before being broken down by 391 subsection such that each provision is isolated. These partitioned provisions are then reconstructed 392 to form the document databases while ensuring that the constituent documents are of consistent 393 length; if a certain provision is very long, it is broken down into multiple documents, and if a certain 394 provision is too short, it is combined with neighboring documents. These databases incorporate 395 page numbers, division numbers, and precise section numbers, enabling accurate localization of 396 each information snippet within the NBCC. The exact number of documents in the database can be 397 tuned easily, but 6238 documents are contained in the system presented. 398

Both search algorithms work by returning the documents with the highest calculated similar-399 ity score to the question asked. The specific lexical search mechanism implemented is BM25 400 (Robertson and Jones 1976; Trotman et al. 2014), a powerful bag-of-words algorithm rooted in 401 term frequency-inverse document frequency principles (tfi 1988). This algorithm scores documents 402 containing the exact words present in the query higher, accounting for extraneous factors such as 403 document length and word frequency across the document database. The similarity score  $S_l$  for 404 each document D containing  $l_D$  tokens in an N-document database where the average document 405 contains  $l_{avg}$  tokens and query Q containing n unique tokens  $q_i$  where each token appears  $f_{q_i}$  times 406 in D and in  $n_{q_i}$  documents in the database is given by Eq. (1). The particular semantic search 407 algorithm chosen is doc2vec (Le and Mikolov 2014; Dai et al. 2015), an extension of word2vec 408 (Mikolov et al. 2013) that computes text embeddings vectors representing long strings of text. 409 The similarity score  $S_s$  for each document D with an embeddings vector D and query Q with an 410 embeddings vector Q is given by their cosine similarity (and, thus, is bounded by -1 and 1), as 411 shown in Eq. (2). Both of these approaches are scalable, democratized, portable, and robust solu-412 tions accessible via open-source software libraries. In particular, the Gensim library (Rehurek and 413 Sojka 2011) provides the preprocessing function used to standardize data when creating the BM25-414 searchable database as well as the interface and machine-learned model frameworks to create the 415 doc2vec-searchable database. The doc2vec-based text embeddings model is trained using Gensim 416 on all raw text within the NBCC as well as all the textual data from articles on English Wikipedia 417 tagged as being related to civil engineering, structural engineering, or construction (in total, more 418 than 1.1 million words). This process (Fig. 4) presents a large volume of domain-specific text to the 419 model, teaching it to understand the meaning of words particular to relevant engineering contexts. 420

$$S_l = \sum_{i=1}^n \ln\left(\frac{N - n_{q_i} + 0.5}{n_{q_i} + 0.5}\right) \cdot \frac{f_{q_i} \cdot (k+1)}{f_{q_i} + k \cdot (1 - b \cdot (1 - \frac{l_D}{l_{avg}}))}$$
(1)

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$$S_s = \frac{\boldsymbol{D} \cdot \boldsymbol{Q}}{\|\boldsymbol{D}\| \|\boldsymbol{Q}\|}$$
(2)

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#### B Chatbot Application

Various LLMs are integrated with the system in an effort to meaningfully compare the capabil-424 ities of several state-of-the-art models for this use case. The specific LLM families implemented 425 are LLaMA-2 (Touvron et al. 2023a) and Falcon (Penedo et al. 2023). These powerful model 426 families, both released in 2023, represent the state-of-the-art amongst entirely open-source LLMs 427 (Beeching et al. 2023; Gao et al. 2021; Clark et al. 2018; Zellers et al. 2019; Hendrycks et al. 428 2021; Lin et al. 2022), making them scalable, democratized, portable, and robust solutions as well. 429 Several versions of both of these model architectures are integrated via the open-source Hugging-430 Face library (Wolf et al. 2020), ensuring that the entire pipeline remains completely open-source 431 and free. Additionally, the recent GPT-3.5 (Brown et al. 2020) and GPT-4 (OpenAI 2023) models 432 are incorporated into the system to provide a meaningful comparison between performance when 433 using open-source models and when using commercial LLMs. 434

# 435 **RESULTS AND DISCUSSION**

#### 436 General Approach

The primary measure of the success of the system is the accuracy of responses generated. For the system to be useful in high-stakes, real-world scenarios, relevant and correct answers must be consistently produced. The two primary factors affecting the correctness of system responses are the accuracy of the search algorithms chosen on natural language queries and the in-context question-answering ability of the LLMs selected on domain-specific examples.

The truthfulness of system outputs is directly limited by the relevance of the documents retrieved – if an irrelevant document is retrieved, the LLM has no chance of generating the correct answer to the question at hand. Thus, the strength of the search mechanisms utilized is paramount to system success. This is evaluated by determining the accuracy of the search algorithm in recovering the corresponding context document for sample natural language questions relevant to the NBCC.

The native question-answering capability of the LLM employed also greatly affects system performance. Even if the proper context document is retrieved, if the LLM employed is unable to understand the natural language question, locate the relevant information within the context

supplied, and produce a truthful natural language answer reflecting this information, the system 450 will fail. Thus, the in-context question-answering ability of the LLM is critical to maximizing 451 system performance. However, this capacity is difficult to quantify; at present, there is no widely 452 accepted method of evaluating open-ended question-answering systems (Kamalloo et al. 2023). 453 As such, model evaluation is best carried out by testing on a dataset formatted as multiple choice 454 questions and answers. This format allows comparisons between model-generated and pre-defined 455 responses to be made, enabling direct classification of responses as correct or incorrect. Without 456 many possible answers to compare and contrast between, deducing when an open-ended question 457 has been answered correctly is very difficult. 458

#### 459 **Testing Dataset**

Because there has been no prior research into this specific area, no codes-and-standards-based 460 dataset to meaningfully evaluate system performance exists. Thus, an original dataset is generated 461 and utilized for meaningful analysis of system performance. Based on the approach taken to 462 produce Alpaca (Taori et al. 2023), existing off-the-shelf LLMs are used to generate this dataset, 463 including the labels. This process begins with a brief manual inspection of the knowledge database 464 to identify those documents belonging to pages of the NBCC containing only well-formatted 465 provisions; for example, documents taken from pages containing information relating to document 466 structure and format, tables, and figures are discarded. Each of these high-quality documents (the 467 (3) is then integrated into a meticulously crafted prompt (Fig. 5) passed into an LLM to generate 468 a single open-ended multiple choice question accompanied by one correct and three incorrect, but 469 plausible, answers based directly on the document content. The labeled correct answer among 470 the four possibilities is selected wholly by the LLM. A set of 1285 data points is produced. This 471 generated dataset is easily coupled into the search algorithms of interest and is readily converted 472 into coherent system prompts of the same style that the system encounters at runtime. This complex 473 and abstract task necessitates the use of a large, powerful LLM. The primary LLM employed for 474 this task is the 70-billion-parameter chat version of LLaMA-2 (Touvron et al. 2023a), keeping the 475 entire pipeline open-source and free. 476

This dataset covers a wide range of topics among the textual-based portions of the NBCC, with 477 questions coming from both volumes, four divisions, and nine sections of the document (Fig. 6). 478 Thorough manual investigations of dataset quality are not feasible because of the dataset's size, 479 but preliminary human inspections by the authors indicate that the questions and possible answers 480 produced closely mirror the content in the NBCC. When presented with 25 questions alongside 481 their context and possible answers (Fig. 7) from the 1285 present in the dataset in an examination 482 format, three trained engineers selected the correct response in 72.0%, 68.0%, and 68.0% of cases 483 for a 75-point average of 69.3%. Subsequent deeper investigations revealed that 80.0% of the 25 484 samples are excellent (that is, the question is coherent and the answer labeled as correct by the LLM 485 that generated the sample is indeed solely correct and complete), 12.0% are satisfactory (that is, the 486 question is coherent and the labeled correct answer is correct but incomplete, with other possible 487 answers being correct as well), and the remaining 8.0% are poor (that is, the labeled correct answer 488 is objectively incorrect). This indicates that the dataset is of relatively high quality; it signifies that 489 most of the answers labeled as correct are indeed correct and are generally free from hallucination. 490 Furthermore, the data points investigated capture a representative sample of topics and complexity. 491 However, this imperfect dataset quality introduces some error into the reported accuracy (gen-492 erated via automated testing methods). Because the automatically-generated and human-generated 493 labels sometimes differ, there may be some cases where the system's behavior is determined to be 494 incorrect even though it matches human behavior, or where the system's behavior is determined to 495 be correct even though it does not match human behavior. These competing factors may limit the 496 effect of these dataset errors on the results, but some uncertainty remains; the real accuracy may 497 fluctuate about the reported accuracy. While the real system accuracies may not exactly match the 498 reported figures, these values provide a reasonably accurate estimate and allow the identification 499 and analysis of trends. The lack of an existing dataset and the difficulties in procuring a sufficiently 500 large human-generated dataset make this issue impossible to resolve in this paper; future research 501 may involve creating a higher-quality dataset to better quantify system performance with the help 502 of experienced industry professionals. It must be noted that even human-generated datasets are 503

<sup>504</sup> subject to data quality issues, causing synthetic dataset generation techniques, such as the one used
<sup>505</sup> in this paper, to be used across many subfields of machine learning (Nikolenko 2019; Savage 2023;
<sup>506</sup> Lu et al. 2024). This dataset represents the best possible means to evaluate the proposed system
<sup>507</sup> at present; the above experiments prove that even human experts are fallible and prone to mistakes
<sup>508</sup> in detail-oriented tasks (like creating such a dataset), and expanding such extensive data validation
<sup>509</sup> procedures to the entire dataset would be a monumental task (consuming on the order of hundreds
<sup>510</sup> of expensive person-hours) that is out of the scope of the paper.

#### 511 **Evaluation Metrics**

In this paper, the accuracy of LLM responses is computed in three ways: logarithm-likelihood, semantic similarity, and lexical similarity. In each case, the LLM of interest is supplied with a system-formatted prompt (Fig. 8) combining a sample question (the second {}) with its corresponding context (the first {}). These metrics each compare the resulting model behavior with each of the corresponding possible answers to the multiple choice questions present in the generated testing dataset to determine system accuracy.

In the logarithm-likelihood approach, the model's internal state is evaluated; the probability 518 that the LLM will generate each possible response is calculated based on its hidden parameters 519 (Gao et al. 2021). This is computed by aggregating the probability that the model will generate 520 each successive output token given that all previous prompt and output tokens have already been 521 generated. The score  $S_{ll}$  of each prompt-response concatenation consisting of a series of n tokens 522  $t_i$  where the first j tokens comprise the prompt is given by Eq. (3). If the correct answer is the most 523 likely text to be generated by the model, the LLM is said to have answered correctly; if any of the 524 other incorrect answers are more likely to be generated, the LLM is determined to be incorrect in 525 its response. 526

$$S_{ll} = \sum_{i=j+1}^{n} \log P(t_i \mid t_{< i})$$
(3)

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For the latter two approaches, the model generates a response based on the provided prompt

that is systematically compared with each possible answer. Similarity scores between the model-529 generated response and each possible example response are computed in both semantic (Risch et al. 530 2021) and lexical (Rajpurkar et al. 2018) fashions. Semantic similarity scores are computed by 531 the same doc2vec text embeddings model utilized by the search algorithm; the similarity score 532  $S_{ss}$  for each labeled response X with an embeddings vector X and generated response R with an 533 embeddings vector  $\mathbf{R}$  is given by Eq. (4). Lexical similarity scores are represented by F1 scores 534 tallied based on analysis of the individual words present in each response; the similarity score  $S_{ls}$ 535 for each labeled response X with  $l_X$  total tokens where each unique token  $x_i$  appears  $c_{x_i}$  times and 536 generated response R with  $l_R$  total tokens where each unique token  $r_j$  appears  $c_{r_j}$  times is given 537 by Eq. (5). If the response labeled as correct is the most similar to the one actually generated, it is 538 counted as a correct answer; if any of the other potential answers are more similar to the generated 539 response, the response is labeled as incorrect. 540

$$S_{ss} = \frac{X \cdot R}{\|X\| \|R\|} \tag{4}$$

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$$S_{ls} = \frac{2\sum_{i} \min(c_{x_{i}}, c_{r_{j}}|_{j:x_{i}=r_{j}})}{l_{X} + l_{R}}$$
(5)

While these metrics do not completely reflect the quality and accuracy of system responses, they provide a practical means of numerically studying the performance of different system configurations. These three approaches are widely used (Beeching et al. 2023; Guo et al. 2023) and provide a holistic view of system performance by capturing the model's internal knowledge (logarithm-likelihood) as well as the accuracy of the answers it actually generates (similarities).

548 Implementation Architecture

The computer used for software development and for running experiments is a 64-bit, 80-core Intel Xeon Gold 6242R processor running the Linux Ubuntu 22.04 operating system at 3.1 GHz with 128 GB of RAM. All locally-run LLMs are inferenced using a 4-bit quantization on two 48 GB NVIDIA A6000 GPUs. All software was developed in Python 3.10, primarily utilizing the PyTorch (Paszke et al. 2019), HuggingFace's Transformers (Wolf et al. 2020), and the Gensim
(Rehurek and Sojka 2011) libraries. Gensim is used for the data engineering pipeline stage (to
apply search algorithms), while PyTorch and Transformers are used for the chatbot application
stage (to load, configure, and inference all locally-run LLMs). Code used during the experiments
is available online at https://github.com/mqp2259/LLMforBuildingCodes.

# **558** Experimental Results

<sup>559</sup> System configuration is determined by the searching algorithm utilized as well as the LLM <sup>560</sup> employed. If either of these components fails, the entire system will fail. To isolate the performance <sup>561</sup> of each component, each is evaluated separately.

The effectiveness of lexical and semantic search algorithms in recovering the associated context 562 document with each natural language query in the testing dataset from the 6238-document database 563 is computed (Table 1). Both algorithms achieve a workable accuracy, but, despite the comparatively 564 primitive methods used, the lexical search remains superior. However, this disparity may be a 565 function of the testing dataset itself and these results may not generalize to real-world use. Each 566 natural language question in the testing dataset is generated directly based off of the verbatim text 567 present in the NBCC, so the questions tend to use the exact same terms as the ones contained in 568 the NBCC. Real-world questions would not necessarily use these exact same terms, so the search 569 algorithm may falter when appropriate synonyms are substituted into the query. Since the lexical 570 algorithm searches based on the exact words present, it achieves a high accuracy here, but may not 571 for entirely original human-generated questions. 572

The success of various LLMs in producing the correct answer to each natural language question present in the testing dataset given the appropriate context document (where the correct document is automatically supplied without any searching stage) is determined (Table 2). Specifically, Falcon (Penedo et al. 2023) in seven- and 40-billion-parameter versions, LLaMA-2 (Touvron et al. 2023a) in seven-, 13-, and 70-billion-parameter versions, and GPT-3.5 Turbo (ChatGPT) (Brown et al. 2020) and GPT-4 Turbo (OpenAI 2023) models are evaluated. The logarithm-likelihood metric is unavailable for the GPT models because they are closed-source; their internal state cannot be

probed, which is required to compute this figure. Unsurprisingly, model performance generally 580 scales directly with model size (Fig. 9); this finding is consistent with previous work in the space 581 of LLM-based NLP (Zhao et al. 2023; Shanahan 2023). However, the relationship is modest and 582 small models still demonstrate great performance. The LLaMA-2 models consistently outperform 583 the Falcon models, which may indicate that LLaMA-2 is better overall, consistent with previous 584 analyses, or just better at this specific downstream task. While the testing dataset was generated by 585 a LLaMA-2 model, it was a separate model using a very different prompt, so this should not have 586 a major effect (even with this setup, the LLaMA-2 models did not achieve 100% accuracy). The 587 GPT models generally perform better than their free and open-source counterparts, as expected, but 588 the margin is very small. Surprisingly, GPT-4 seems to exhibit worse performance than GPT-3.5, 589 but this is likely because the typically longer responses produced by this LLM are penalized by the 590 similarity algorithms used. The other two metrics are significantly higher than semantic-similarity-591 based scores across all models, which is consistent with the better performance of the lexical search 592 algorithm. This indicates a general weakness in the semantic models used rather than a weakness in 593 the system itself; lexical-based approaches remain the standard method of LLM evaluation (Liang 594 et al. 2023). Given the similarity between the other two superior metrics, they are likely to represent 595 the real accuracy of the system. 596

<sup>597</sup> Overall, performance is strong but can be further improved – the search algorithm and LLM each <sup>598</sup> can behave correctly for a large majority of instances. This indicates that, when coupled together, <sup>599</sup> the complete system may answer the majority of questions correctly. Crucially, the embedded <sup>600</sup> citation mechanism allows engineers to easily determine when the system is incorrect simply by <sup>601</sup> quickly reading the passage referenced. Given the system's good accuracy and traceability, it may <sup>602</sup> be sufficient for use in some real-world applications. However, system responses should not be <sup>603</sup> trusted without verification.

Qualitatively, most configurations behave similarly and all systems are able to answer questions reasonably well (Fig. 10). Complete system interactions involve all stages of the proposed framework, including processing the raw code or standard and building knowledge databases in the

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data engineering pipeline, as well as searching for context documents and prompt engineering in the chatbot application (Fig. 11).

These successful system interactions contrast starkly with the corresponding interactions with a 609 solitary LLM when no context information is provided or when an inferior prompt is provided (Fig. 610 12). These experiments serve to highlight the fact that each of the different system components are 611 essential to produce proper overall system behavior. Each trial of sample interactions shows the 612 system output under a different modification; in each column, the exact same input query and LLM 613 as in Fig. 10 are utilized. The first trial illustrates that, when no context information is provided 614 - that is, when the exact same system prompt is employed but with an empty context document 615 - factually incorrect responses are generated. The second trial proves that, even when the LLM 616 is instructed to consider the NBCC specifically – that is, when the system prompt is altered to 617 instead preface the user input With Human: According to the National Building Code of Canada 2020, but a 618 context document is still not provided – this remains the case. The third trial exhibits that, when 619 no system directive is provided to prime the LLM – that is, when the exact same system prompt 620 is used, including the context document, but with You are a helpful assistant who truthfully answers a 621 human's questions based on provided context. removed - the system does not behave as a conversational 622 question-answering assistant and merely regurgitates the provided context. These experiments 623 emphasize that the RAG and prompt engineering techniques utilized in this paper are indeed 624 necessary for strong performance on this task; without these components, system responses are 625 uninformed and ill-composed. 626

These system interactions can also be compared to corresponding interactions with a trained human engineer (Fig. 13). These experiments serve to contextualize system behavior and verify several important advantages of the proposed method. Each trial of sample interactions shows the responses produced by a different engineer; in each column, the exact same input query as in Figs. 10 and 12 is utilized. These sample responses were sourced from three authors, who are trained engineers, instructed to draft written answers to questions about the NBCC using only traditional methods; internet access was restricted and the use of AI tools was prohibited. Both

methods have imperfect correctness – the system-generated response to the first sample question is 634 incomplete and Engineer #3's response to the second sample question is wrong. This proves that 635 even trained engineers make mistakes and underscores that responses generated by both methods 636 must be verified. Qualitatively, these human- and system-generated responses are quite similar. 637 System-generated responses are easier to understand because they are well-formatted, being entirely 638 free of spelling and grammatical errors, and state the answer to the user's question directly and 639 in clear terms. In contrast, human-generated answers are prone to typographical and other errors 640 because writing a well-crafted original response takes a significant amount of time and effort. 641 However, system-generated responses also tend to be less thorough because the proposed method is 642 only able to consult a small excerpt of the NBCC that may not contain all the relevant and required 643 information. This means that the system is particularly valuable for specific provisions, while 644 more abstract and general questions may require further system improvement. Conversely, human 645 engineers are able to understand the broader context of the relevant provisions within the code 646 or standard because they can freely traverse the document. This is important because oftentimes 647 related provisions are not located near each other within a code or standard. A major benefit of the 648 proposed system is that it returns accurate citations for users to quickly find the relevant section 649 of the code or standard; traditional computerized search functions that work by exact character 650 matching are overly sensitive to small input perturbations, but the advanced search algorithms used 651 by the system are not. Crucially, this experiment verifies that the responses can be obtained from the 652 proposed system significantly faster than by the traditional method; system execution time varies 653 greatly depending on hardware configuration and question complexity, but may be as much as 10 654 times faster than the average engineer's response time of 3 min 31 s. The absence of a substantial and 655 high-quality human-made dataset currently limits the scope for a more comprehensive comparative 656 analysis. However, this analysis is planned for future work. 657

This paper merely introduces a complete framework enabling engineers to answer questions about codes and standards in a novel manner. The framework itself is highly modularized, meaning that increasingly powerful components can be effortlessly integrated as they emerge. Therefore, the performance of systems implementing this framework can be further improved. For example, superior search algorithms can be developed and new LLMs, such as LLaMA-3 (Touvron et al. 2023b), can be incorporated in the future.

# 664 CONCLUSIONS AND FUTURE WORK

#### 665 **Final Remarks**

The promising results of this paper indicate that using modern LLMs to answer questions 666 about civil and structural engineering codes and standards is feasible. A lexical search algorithm 667 coupled with a LLaMA-2 model produced the best results, and system performance scaled with 668 LLM size. The framework designed is scalable, democratized, portable, and robust; even small 669 models performed well and, crucially, the pipeline was entirely open-source. Considerable strides to 670 overcoming significant obstacles of the past, including the capacity for natural language interaction 671 and LLM hallucination, have been made in this work. The proposed framework and system have 672 the potential to fundamentally change how engineers interact with codes and standards, improving 673 the efficiency of the design process. Furthermore, this framework holds promise for powerful 674 applications to diverse domains where the truthfulness of precise and complex technical information 675 is important. With future optimization and the adoption of rapidly developing NLP technologies, 676 the effectiveness of systems implementing the proposed framework will only continue to improve. 677 However, it should be acknowledged that thorough analysis of the risks associated with the use 678 of this framework and system has not yet been undertaken. The system developed displays strong 679 behavior both quantitatively and qualitatively and promises to be a useful tool to assist designers 680 and engineers in completing their work more efficiently and effectively, but it should only be used 681

with extreme caution as an assistance to trained professional engineers in its current state.

### 683 Future Work

Work on this project should continue in many areas. Primarily, the application of state-of-theart and emerging AI technologies and practices may improve the present results. For example, employing parameter-efficient fine-tuning methods with specialized datasets to expose the LLMs

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used to more technical civil and structural engineering jargon and to improve the in-context question-687 answering ability of LLMs employed may significantly enhance system behavior. Additionally, 688 implementing reinforcement learning techniques based on automated reward functions or human 689 annotations may better the quality and safety of system responses. Moreover, applying more 690 complex prompt structures and patterns, such as few-shot prompting, may improve behavior further. 691 Furthermore, other existing and forthcoming LLMs may achieve better performance than the ones 692 employed in this preliminary study. Dataset procurement, both for system training via fine-tuning 693 or reinforcement learning or for improved system performance analysis, has the potential to enhance 694 systems implementing the proposed framework and to provide a better means of benchmarking 695 performance. Data quality is of the utmost importance in machine learning projects; collecting 696 and analyzing higher quality data, preferably extracted from real-world contexts, may substantially 697 improve model training and evaluation. Crowdsourced annotations of realistic data would overcome 698 current data quality limitations, and this is currently being explored. Assembling a large, high-699 quality dataset may facilitate further research into this problem by creating a consistent method of 700 evaluation standardized across many distinct works. Such a dataset may facilitate other features, 701 such as robustness to unanswerable questions. 702

Ensuring compliance with codes and standards complex task that manifests in many different 703 forms. Besides providing a means for engineers to interact verbally with codes and standards in 704 a question-and-answer fashion, the presented framework may be combined with other new and 705 emerging technologies in the future. For example, it may be integrated with existing automated 706 tools for checking compliance of particular engineering designs and drawings. Furthermore, it 707 may be combined with emerging systems currently in development enabling generative-AI-based 708 structural design. The proposed framework has other vast and powerful potential applications 709 within civil and structural engineering but outside of the design domain; for example, similar 710 systems may be applied to improve safety on construction sites. 711

# 712 DATA AVAILABILITY STATEMENT

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Some or all data, models, or code generated or used during the study are available in a repos-

itory online in accordance with funder data retention policies: https://github.com/mqp2259/
 LLMforBuildingCodes.

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**TABLE 1.** Results of lexical and semantic search algorithms on the testing dataset. Figures represent the fraction of times that the correct context document is present among the top x number of most relevant documents returned by the search algorithm.

Search Algorithm	Search Accuracy			
Search Algorithin	Top 1	Top 3	Top 5	Top 10
Lexical (BM25)	59.0%	76.2%	80.9%	85.5%
Semantic (doc2vec)	32.7%	47.6%	52.8%	60.0%

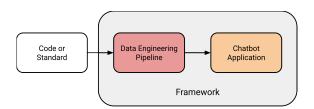
Larga Languaga Madal	Response Accuracy			
Large Language Model	Logarithm Likelihood	Semantic Similarity	Lexical Similarity	
Falcon-7B	72.9%	44.5%	73.5%	
Falcon-40B	75.9%	48.4%	76.3%	
LLaMA-2-7B	77.2%	44.0%	77.4%	
LLaMA-2-13B	79.9%	47.0%	78.1%	
LLaMA-2-70B	82.0%	47.1%	77.5%	
GPT-3.5 (ChatGPT)	-	53.6%	80.2%	
GPT-4	-	49.7%	77.3%	

**TABLE 2.** Results of various LLMs on the testing dataset.

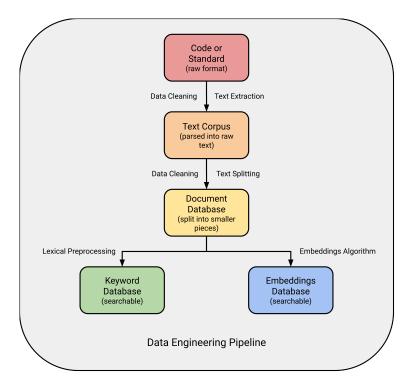
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900		Canada 2020," and append "AI:" with no context document provided. Subfigures	
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904	13	Sample human engineer interactions. Subfigures (a), (b), and (c) were written by
905		Engineer #1. Subfigures (d), (e), and (f) were written by Engineer #2. Subfigures
906		(g), (h), and (i) were written by Engineer #3. All participants were instructed to
907		draft written responses to questions about the NBCC using only traditional methods. 52



**Fig. 1.** General architecture of the two-step framework converting the raw code or standard of interest into searchable databases and an interactive chatbot.



**Fig. 2.** General architecture of the data engineering pipeline transforming the raw information in the code or standard into searchable databases used by the chatbot application.

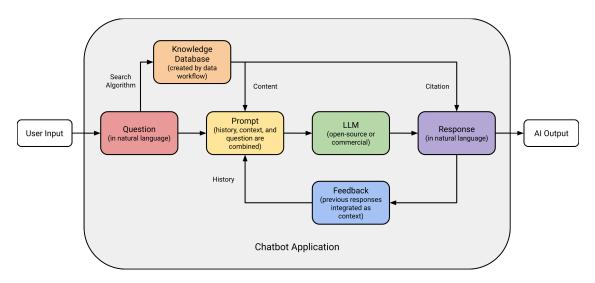


Fig. 3. General architecture of the chatbot application allowing users to interact with the code or standard of interest in natural language.

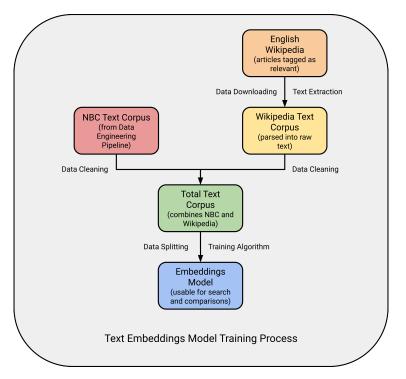


Fig. 4. General architecture of the training process for the doc2vec-based text embeddings model.

Generate exactly one open-ended question with one correct and three plausible incorrect answers based only on the content in the following document.

"{}"

All answers must be written in full sentences and they must be of similar length. Respond in the following format:

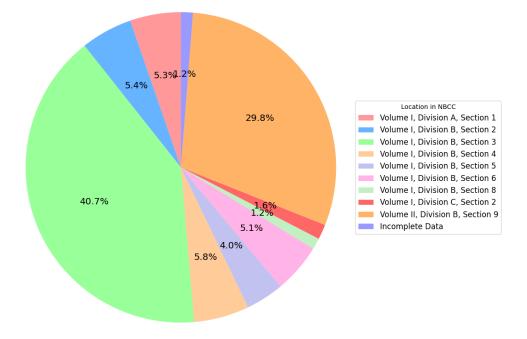
Question: <question>

A: <correct answer to question> B: <incorrect answer 1 to question> C: <incorrect answer 2 to question> D: <incorrect answer 3 to question>

Answer: <letter corresponding to correct answer> Explanation: <justification of answer>

Question:

Fig. 5. The prompt used to generate the testing dataset.



**Fig. 6.** Data point share as a function of location in the NBCC (Canadian Commission on Building and Fire Codes 2022).

Question: According to the excerpt from the building code, what is required for materials, components, assemblies, joints in materials, and junctions between components and junctions between assemblies exposed to precipitation?

Correct Answer: Sealed to prevent ingress of precipitation or drained to direct precipitation to the exterior. Incorrect Answer 1: Sealed to prevent ingress of precipitation only. Incorrect Answer 2: Drained to direct precipitation to the exterior only. Incorrect Answer 3: Neither sealed nor drained.

**Fig. 7.** Sample data point, taken from Section 5.6.2.1. of the NBCC (Canadian Commission on Building and Fire Codes 2022), used to test the system.

You are a helpful assistant who truthfully answers a human's questions based on provided context.

```
Human: Consider the following: "{}" {}
AI:
```

Fig. 8. The prompt utilized by the chatbot application to produce system responses.

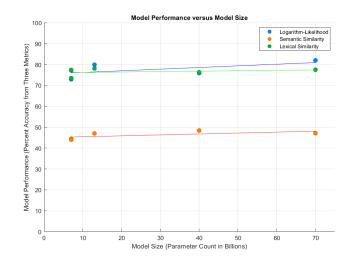


Fig. 9. Open-source LLM performance as a function of parameter count.

What is the condition for friction due to gravity loads to be permitted to provide resistance to seismic forces for a ballasted array of interconnected solar panels mounted on a roof?

The roof is not normally occupied. [Page 505, Division B, Section 4.1.8.18.]

(a) BM25 and Falcon-7B

the installation of fire alarm systems? Fire alarm systems, including the voice communication capability where provided shall be installed in conformance with CAN/ULC-S524, Standard for Installation of Fire Alarm Systems. [Page 233, Division B, Section 3.2.4.5.]

What standard should be followed for

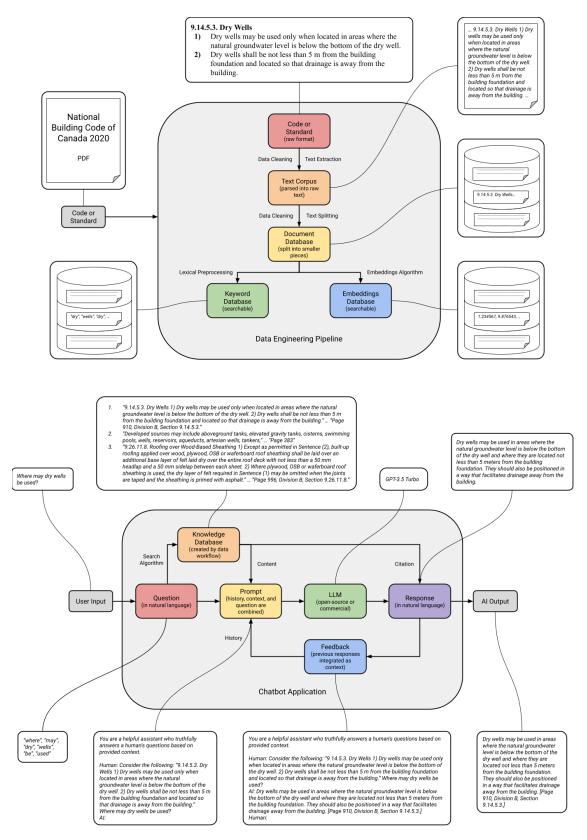
Dry wells may be used in areas where the natural groundwater level is below the bottom of the dry well and where they are located not less than 5 meters from the building foundation. They should also be positioned in a way that facilitates drainage away from the building. [Page 910, Division B, Section 9.14.5.3.]

(c) BM25 and GPT-3.5 Turbo

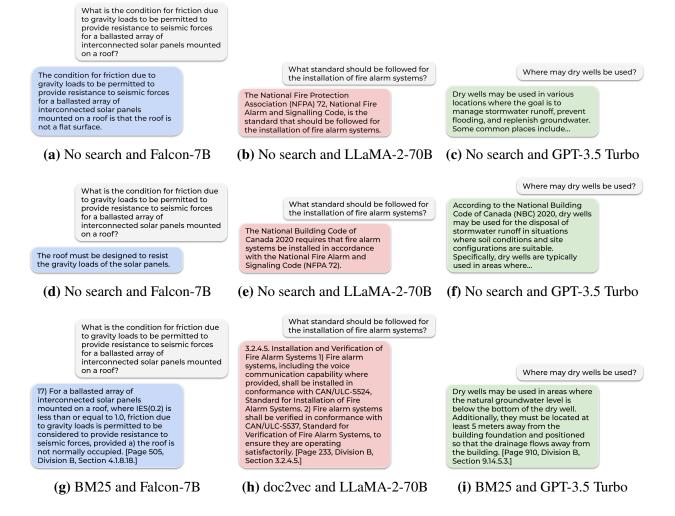
Where may dry wells be used?

Fig. 10. Sample correct system interactions.

(b) doc2vec and LLaMA-2-70B



**Fig. 11.** Step-by-step execution details of the system on a sample input using real data from the NBCC (Canadian Commission on Building and Fire Codes 2022).



**Fig. 12.** Sample incorrect system interactions. Subfigures (a), (b), and (c) utilize the exact system prompt but with no context document provided. Subfigures (d), (e), and (f) preface the user input with "Human: According to the National Building Code of Canada 2020," and append "AI:" with no context document provided. Subfigures (g), (h), and (i) utilize the exact system prompt, including the context document returned by the respective search algorithm, but with no "You are a helpful assistant who truthfully answers a human's questions based on provided context." directive.

What is the condition for friction due to gravity loads to be permitted to provide resistance to seismic forces for a ballasted array of interconnected solar panels mounted on a roof?

Division B, Section 4.1.8.18, Page 505. Roof is not normally occupied, rood is surrounded by parapet extending from the roof surface, the roof is surrounded by a parapet extending from the roof surface to not less than the greater of i) 150 mm above the centre of mass of the array, and ii) 400 mm above the roof surface, c) the height of the centre of mass of the array above the roof surface is less than the lesser of...

1.3.1.2 on page 101. However, to be thorough I have to check if there are building foundation and located so that the drainage is away from the building. This can be found on page other codes mentioned in the document. 910 in section 9.14.5.3 (a) Engineer #1 (b) Engineer #1 (c) Engineer #1 What is the condition for friction due to gravity loads to be permitted to provide resistance to seismic forces for a ballasted array of interconnected solar panels mounted on a roof? What standard should be followed for Division B: 4.1.8.18.17) a) the roof is not the installation of fire alarm systems? normally occupied, b) the roof is surrounded by a parapet extending Division B: 3.2.4.5. Installation and Where may dry wells be used? from the roof surface to not less than Verification of Fire Alarm Systems 1) Fire alarm systems, including the the greater of i) 150 mm above the centre of mass of the array, and ii) voice communication capability where provided, shall be installed in Division B: 9.14.5.3. Drv Wells 1) Drv 400 mm above the roof surface, c) the height of the centre of mass of wells may be used only when located conformance with CAN/ULC-S524, "Standard for Installation of Fire in areas where the natural the array above the roof surface is less than the lesser of... groundwater level is below the bottom of the dry well. Alarm Systems.<sup>1</sup> (d) Engineer #2 (e) Engineer #2 (f) Engineer #2 What is the condition for friction due to gravity loads to be permitted to provide resistance to seismic forces for a ballasted array of interconnected solar panels mounted on a roof? IeS(0.2) less than 1 friction i permitted provided roof is not normally occupied the roof is surrounded by a What standard should be followed for Where may dry wells be used? parapet extending from the roof surface to not less than the greater of the installation of fire alarm systems? i) 150mm above the centre of mass of Where natural groundwater is level is ISO7240-19, "Fire detection and alarm the array, and ii) 400 mm above the below the bottom of he dry well. systems – Part 19: Design, installation roof surface, the height of the centre of mass of the array above the roof Shall be not less than 5 m from building foundation and located so commissioning and service of sound systems for emergency purposes" surface is less than the lesser of .. drainage is away from the building

What standard should be followed for

the installation of fire alarm systems?

I get over 219 reference when

searching "fire alarm system", 30 when I search "fire alarm systems"

on the second search I find that it is "CAN/ULC-S524:2019" by ULC in table

(g) Engineer #3

(**h**) Engineer #3

(i) Engineer #3

Where may dry wells be used?

Searching dry wells generates 6

two conditions, they can be used

results. Took time to load. There are

when located in areas where natural groundwater level is below the

bottom of the dry well, and the second condition is that the dry well

shall not be less than 5 m from the

**Fig. 13.** Sample human engineer interactions. Subfigures (a), (b), and (c) were written by Engineer #1. Subfigures (d), (e), and (f) were written by Engineer #2. Subfigures (g), (h), and (i) were written by Engineer #3. All participants were instructed to draft written responses to questions about the NBCC using only traditional methods.